

# CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

#9 CPA Request 10/17/01

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Address to:

Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

Attorney Docket No. 34650-250USP1

First Named Inventor Per Björndahl

Express Mail Label No. EL 706 391 961

Total Pages 2

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This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/232,289, filed on January 15, 1999, entitled "SYSTEM, METHOD AND APPARATUS FOR SECURE TRANSMISSION OF CONFIDENTIAL INFORMATION" as Amended on January 24, 2001 and on June 13, 2001 by Applicant Per Björndahl.

## NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) and filed on or after June 8, 1995, or (2) the national stage of an international application in compliance with 35 U.S.C. 371 and filed on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☐ Enter the unentered Amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary Amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
   
\_\_\_\_\_
   
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box CPA, Washington, D.C. 20231.

10/16/2001 AWONDAF1 00000057 09232289

02 FC:131 740.00 OP  
03 FC:103 36.00 OP

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	22 - 20 =	2	x \$ 18 =	\$36.00
	INDEPENDENT CLAIMS (37 cfr 1.16(b))	2 - 3 =		x \$ 84 =	\$
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ _____ =	
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
				Total of above Calculations =	\$
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
				TOTAL =	\$776.00

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6. Small entity status;
- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 10-0447:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ Check(s) totaling \$ 776.00 is/are enclosed.
9. ☒ Any extension of time necessary for copendency is hereby requested, and the fee may be charged to Deposit Account No. 10-0447.
10. ☒ Enclosed is a Petition for a three month extension of time in the parent application in order to maintain copendency and a check in the amount of \$920.00.

**NOTE:** The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.

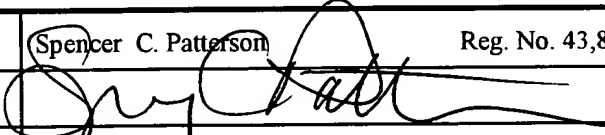
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☐ Customer Number or Bar Code Label Or ☐ New correspondence address below

(Insert Customer No. Or Attach bar code label here)

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COUNTRY		TELEPHONE		FAX	

## 11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Spencer C. Patterson	Reg. No. 43,849
SIGNATURE		
DATE	October 10, 2001	



# 10/Pre Amndt C  
10/17/01

Docket No. 34650-250USP1

a.s.  
entered

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Per Björndahl

Serial No.: 09/232,289

Filed: January 15, 1999

Examiner: P. Tran

Group Art Unit: 2684

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For: SYSTEM, METHOD AND APPARATUS FOR SECURE TRANSMISSION OF  
CONFIDENTIAL INFORMATION

Commissioner for Patents  
Washington, D.C. 20231

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Date of Deposit: October 10, 2001  
I hereby certify that this paper or fee is being  
deposited with the U.S. Postal Service "Express Mail Post  
Office to Addressee" service under 37 CFR 1.10 on the  
date indicated above and is addressed to the Commissioner  
for Patents, Box Patent Application, Washington, D.C.  
20231

Type or Print Name: Carolyn Bova

Signature

*Carolyn Bova*

Dear Sir:

PRELIMINARY AMENDMENT

Prior to further examination of the above-identified  
application for patent, Applicant requests that the application  
be amended as follows:

In the Claims:

Please amend the claims in accordance with the following:

- 1           1.   (Twice Amended) A communications system for secure  
2 wireless communications, said communications system comprising:  
3           a first device having transceiving means therein for  
4 communicating in a first and a second communication mode;  
5           a second device, in wireless communication with said  
6 first device, said first and second devices wirelessly  
7 communicating in said first communication mode using an infrared